

**Account Owner
Regulatory
Communications**



E*TRADE Advisor Services will no longer be facilitating regulatory communications in statements to clients

Due to compliance and regulatory obligations associated with these requests, E*TRADE Advisor Services will no longer be facilitating RIA-required regulatory communications to account owners by either direct mail or as inserts with quarterly statements.

We will, however, continue to facilitate the mailing of your newsletter and any other non-regulatory communications as inserts with account owner quarterly statements. As always, if you would like to embed a message to your clients on your quarterly statements, please send your customized message to your Client Services Advocate who can help facilitate this.

Please contact your Client Service Advocate with any questions or concerns related to statement inserts.