

**Additional Sanctions - Issuance
of new Russia - related
Executive Order and related
Frequently Asked Questions**



On Tuesday, 03/08/2022 The President signed an Executive Order on prohibiting certain imports and new investments with respect to continued Russian Federation efforts to undermine the sovereignty and territorial integrity of Ukraine.

The Executive Order is available

here: https://home.treasury.gov/system/files/126/eo_prohibitions_imports_investments.pdf

Additionally, Tuesday, 03/08/2022, The Department of the Treasury's Office of Foreign Assets Control (OFAC) published new Frequently Asked Questions and updated several Frequently Asked Questions: <https://home.treasury.gov/policy-issues/financial-sanctions/faq/added/2022-03-08>

Of Note:

- Until further notice, any transaction involving any Issuer located or associated with the Russian Federation including debt securities is restricted from purchase.
- Orders to purchase these investments will be cancelled by Axos Clearing.
- IBD's are responsible for ensuring that this policy is enforced.
- Axos Clearing will cancel any transaction it reviews on a best-efforts basis.
- Additionally, we are awaiting more information from government parties on securities with issuers in Belarus.

If there are any questions with regards to this policy, please contact Execution Services at 800-776-5778 .

Investment Products: Not FDIC Insured - No Bank Guarantee - May Lose Value.

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