

Since the new form ADV has a section that addresses the RIAs' custodian, TCA offers the following:

Question 5 asks if the custodian is a broker-dealer – Answer NO.

Question 6 asks for the custodian's legal entity identifier – Until further notice, use TCA's RSSD ID which is 1977248. This number is assigned by the Federal Reserve and may change with the E*TRADE purchase.

Let your relationship manager know if you have questions.