

CORPORATE ACTION ANNOUNCEMENTS

WEEK OF: 3/3/21 to 3/9/21

DESCRIPTION:	CUSIP:	SYMBOL:	EVENT TYPE:	EFFECTIVE DATE:	RATE:
DR. PEPPER SNAPPLE GROUP INC SR	26138EAV1		FULL CALL	03/16/2021	
GLADSTONE INVESTMENT CORP 6.25%	376546503		FULL CALL	03/03/2021	
NASSAU CNTY N Y GEN IMPT BDS	63165TGR0		FULL CALL	04/01/2021	
NORTH CAROLINA ST HSG FIN AGY	658207UM5		PARTIAL CALL	04/01/2021	
RANGE RES CORP SR SB NT	75281AAN9		FULL CALL	04/02/2021	
B RILEY FINANCIAL INC 7.5% PFD	05580M306	RILYZ	FULL CALL	03/31/2021	
CIGNA CORP SR NT 4.000% 02/15/22	125509BS7		FULL CALL	04/02/2021	
CELEBRATION CMNTY DEV DIST FLA SP	151001CP7		PARTIAL CALL	05/01/2021	
CONTRA TESCO PLC ADR	881CNT018		Mandatory Exchange	03/05/2021	
TRANSETERIX NEW	89366M300	TRXC	Name Change	03/05/2021	
BLACKROCK FLORIDA INSURED MUNICIPAL	09250G102		Mandatory Exchange	03/09/2021	
DUFF&PHELPS UTIL&CORP BOND TST	26432K108		Stock Merger	03/08/2021	
FERGUSON PLC	31502A303	FERGY	Mandatory Exchange	03/08/2021	
LEXINGTON-FAYETTE URBAN CNTY A	52909MAT1		Full Call	07/01/2021	
MIAMI-DADE CNTY FLA SPL OBLIG	5933NTB9		Full Call	04/01/2021	
MURPHY OIL CORP FR 4.000%	626717AD4		Full Call	04/04/2021	
NEW JERSEY ST TRANSN TR FD AUT	646136D57		Full Call	06/15/2021	
SARALAND ALA PUB EDL BLDG AUTHEDL	803148CU7		Full Call	06/01/2021	
UNIVERSITY WASH UNIV REVS GEN	91523NHU3		Full Call	04/01/2021	