CORPORATE ACTION ANNOUCEMENTS

WEEK OF: 10/11/2023 to 10/17/2023

DESCRIPTION:	CUSIP:	SYMBOL:	EVENT TYPE:	EFFECTIVE DATE:	RATE:
UNIVERSITY PL WASH LTD GO BDS SER B 6.42	91480QDT4		Partial Call	12/01/2023	
MICHIGAN ST GO SCH LN BDS SER A 3.000% 0	594612BC6		Partial Call	11/15/2023	
COMMUNITY WEST BANCSHARES	204157101		Stock Merger	10/12/2023	
ENERGY TRANSFER L P SR NT 7.6% 2/1/2024	29273RBB4		Full Call	11/13/2023	
AQUABOUNTY TECHNOLOGIES NEW	03842K200		Reverse Split	10/16/2023	1:20
HERITAGE-CRYSTAL CLEAN INC. - COMMON	42726M106		Cash Merger	10/18/2023	
NCR CORP NEW	62886E108		Name Change	10/17/2023	