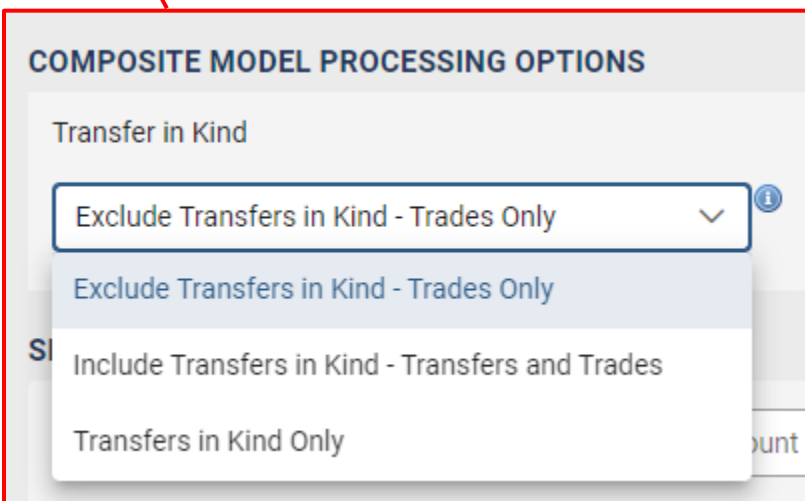
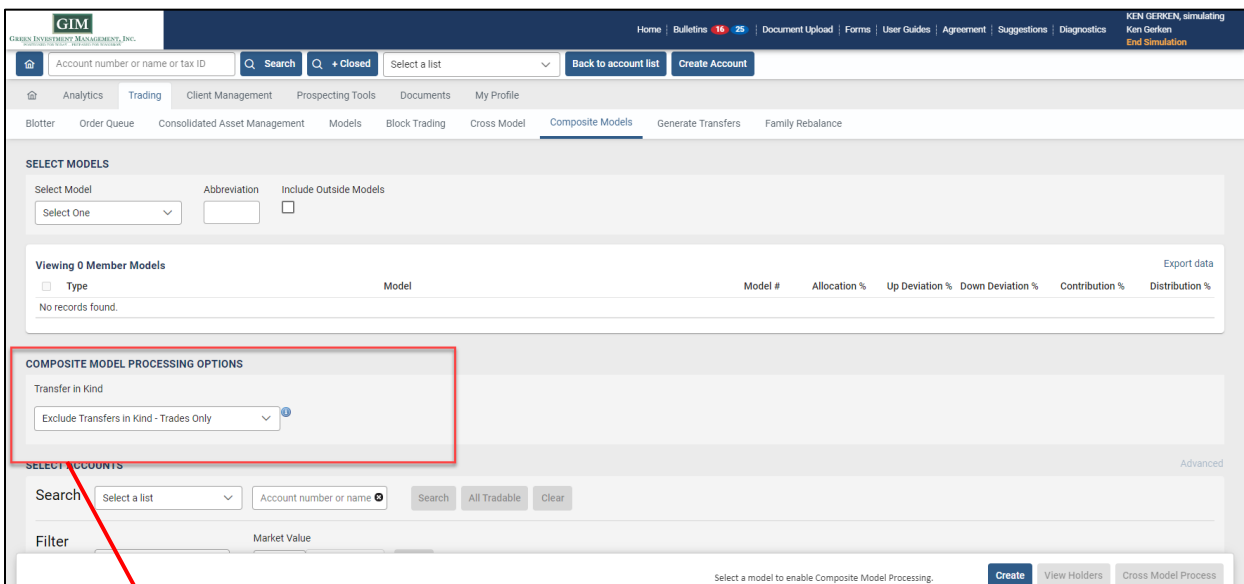


Composite Model Trading Enhancement

June 20, 2024

Enhancements have been made to our Composite Model trading functionality to improve your experience and reduce the risk of potential unnecessary taxable events. With this enhancement, you will have the ability to specify if you want to create buys/sells only, or if you want to create asset transfers between models and then make buys/sells for the difference.

From the Composite Model tab under Trading, you can specify the processing option needed from the dropdown menu.



Composite Model Processing Options Defined

- **Exclude Transfer in Kind** - Includes transferring cash and creating trades for the securities.
- **Include Transfer in Kind** – Includes transferring cash and securities, creating trades for securities only if a transfer cannot be created.
- **Transfer in Kind Only** – Only includes transfers of cash and securities. No trades will be created, and models may not be balanced as a result.

If you have any questions, please contact your Client Services Advocate.

Investment Products: Not FDIC Insured - No Bank Guarantee - May Lose Value.

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