

RIA Website and ADV/ADV Brochure Updates

Please make sure your website is updated to reflect that E*TRADE Advisor Services is the custodian. Additionally, Form ADV Part I/ADV Brochures should also reflect that E*TRADE Advisor Services is the custodian.

Registered Investment Advisor firms are required to update Form ADV no less than annually.

Form ADV Part I has a section that addresses the RIAs' custodian. E*TRADE Advisor Services offers the following:

Section 5.K.(3)

- Legal name of custodian: E*TRADE Savings Bank
- Primary business name of custodian: E*TRADE Advisor Services
- The location(s) of the custodian's office(s) responsible for custody of assets:
 - City: Centennial
 - State: Colorado
 - Country: United States
- Is the custodian a related person of your firm? No
- If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) – 549300DXPO50FJ75QA85

Let your relationship manager know if you have questions.