

Event Type	Fund Merger	Status	Initial Notification	Cancellation Reason	
Fund Company Name	HARTFORD FUNDS DISTRIBUTORS, LLC	Fund NSCC Participant Number	4856		
Effective Date	09/23/2019	Effective Time	Open of Market	Distribution Date	07/15/2019

Contact Information

Contact Name	Dealer Services	Contact Number	888-843-7824	Contact Email	dealersupport@hartfordfunds.com
Company URL	www.hartfordfunds.com				

Impacted Funds

Retiring Fund/Merge From						Survivor Fund/Merge To						
From Fund Number	From Security Issue ID	From Fund Name	From Ticker	From Share Class	NAV	To Fund Number	To Security Issue ID	To Fund Name	To Ticker	To Share Class	Extended NAV	Merger Ratio (the number of Survivor Fund shares for each share of Retiring Fund)
1541	41664M490	The Hartford Global All-Asset Fund	HLAAX	A		1904	41664R440	Hartford AARP Balanced Retirement Fund	HAFAX	A		
1542	41664M482	The Hartford Global All-Asset Fund	HLACX	C		1905	41664R432	Hartford AARP Balanced Retirement Fund	HAICX	C		
3124	41664T586	The Hartford Global All-Asset Fund	HLAFX	F		3155	41664T388	Hartford AARP Balanced Retirement Fund	HAFDX	F		
1543	41664M474	The Hartford Global All-Asset Fund	HLAIX	I		1906	41664R424	Hartford AARP Balanced Retirement Fund	HAFIX	I		
1545	41664M458	The Hartford Global All-Asset Fund	HLARX	R3		1908	41664R416	Hartford AARP Balanced Retirement Fund	HAFRX	R3		
1546	41664M441	The Hartford Global All-Asset Fund	HLASX	R4		1909	41664R390	Hartford AARP Balanced Retirement Fund	HAFSX	R4		
1547	41664M433	The Hartford Global All-Asset Fund	HLATX	R5		1910	41664R382	Hartford AARP Balanced Retirement Fund	HAFIX	R5		
1727	41664K469	The Hartford Global All-Asset Fund	HLAUX	R6		1740	41664K410	Hartford AARP Balanced Retirement Fund	HAFVX	R6		
1544	41664M466	The Hartford Global All-Asset Fund	HLAYX	Y		1907	41664R374	Hartford AARP Balanced Retirement Fund	HAFYX	Y		

Proxy Vote Date	
Proxy Status	
The Fund Prospectus has been Stickered or Reprinted	Y

Trading Through NSCC Fund/SERV

T+2 Settlement Date		DCC&S Activity Date		T+1 Settlement Date	
Retiring Fund Last Trade Date for New Investor Purchases/Exchanges In	06/07/2019	Retiring Fund Last DCC&S Trade Date for New Investor Purchases/Exchanges In	06/07/2019	Retiring Fund Last Trade Date for New Investor Purchases/Exchanges In	06/07/2019
Retiring Fund Last Trade Date for Existing Investors Subsequent Purchases/Exchanges In	09/20/2019	Retiring Fund Last DCC&S Trade Date for Existing Investors Purchases/Exchanges In	09/20/2019	Retiring Fund Last Trade Date for Existing Investors Purchases/Exchanges In	09/20/2019
Retiring Fund Last Trade Date for Redemptions/Exchanges Out	09/20/2019	Retiring Fund Last DCC&S Trade Date for Redemptions/Exchanges Out	09/20/2019	Retiring Fund Last Trade Date for Redemptions/Exchanges Out	09/20/2019
Retiring Fund Last Trade Date for NSCC Transfers In or Out	09/20/2019				

Retiring Fund will settle all Fund/SERV trades T+1 beginning	09/16/2019				
Last Date ACATS will be accepted	09/20/2019				
Last Date for Automatic Investment Purchases	09/20/2019	Last Date for Automatic Investment Purchases	09/20/2019	Last Date for Automatic Investment Purchases	09/20/2019
Last Date for Systematic Withdrawals (SWIP)	09/20/2019	Last Date for Systematic Withdrawals (SWIP)	09/20/2019	Last Date for Systematic Withdrawals (SWIP)	09/20/2019

Exceptions Apply?	No
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Exceptions

Allowable exceptions for Initial and Subsequent Investments and Exchanges In	Activity Allowed Yes/No	Comment

Non-NSCC Trading

Direct Trading Dates

Retiring Fund Last Trade Date for New Investor Purchases/Exchanges In	06/07/2019
Retiring Fund Last Trade Date for Existing Investors Purchases/Exchanges In	09/20/2019
Retiring Fund Last Trade Date for Redemptions/Exchanges Out	09/20/2019

Other Direct Trading Considerations

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Special Dividend/Capital Gain Distribution

Retiring Fund Dividend/Capital Gain Anticipated Record Date	
Retiring Fund Dividend / Capital Gain Anticipated Ex Date	
Retiring Fund Dividend/Capital Gain Anticipated Payable Date	
Dividend/Capital Gain Reinvestment	
Will the "From" fund accrual be paid out prior to the merger?	
Fund Accrual pay date (if accrual is not moved to the "To" fund)	

Regular Dividend/Capital Gain Distribution

Retiring Fund Dividend/Capital Gain Anticipated Record Date	
Retiring Fund Dividend/Capital Gain Anticipated Ex Date	
Retiring Fund Dividend/Capital Gain Anticipated Payable Date	

NAV

Anticipated Extended NAV availability date	09/20/2019
Number of decimal places the Extended NAV will contain	4
Transaction types the Extended NAV would apply	All Activity Including Merger Transactions

Date NAV will revert to 2 decimal places	09/23/2019
Extended NAV will be used to process the merger	No

Merger Ratio

Anticipated Merger Ratio availability date	09/23/2019
Number of decimal places the ratio will contain	4
Merger Ratio will be used to process the merger	Yes

Networking

Networking Merger Activity Records (F55) Date	09/23/2019
Networking Merger Activity Records (F55) Transaction Type value	
New/Surviving Accounts Networking Fund Account Response records (F51)/FAN Mail Date	
Networking Account Conversion/Update Record (F51) Transaction Type	
Networking Position Files (F58) Date(s)	

Fees

Fee Waivers in the event a client does not wish to participate in the merger	Yes/No	Starting Trade Date
Fee Waivers for CDSC	No	
Fee Waivers for STR	No	
12b-1 Fees suspended	No	
Distribution Fee suspended	No	
Service Fee suspended	No	

Comment

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Legal Disclaimer

All investments are subject to risk, including possible loss of principal.

Investors should carefully consider a fund's investment objectives, risks, charges and expenses. This and other important information is contained in the fund's prospectus and summary prospectus (if available), which can be obtained by visiting www.hartfordfunds.com. Please read it carefully before investing.

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