

Guggenheim Operational Notice: Upcoming Changes for Four Guggenheim ETFs




On January 27, 2016, Guggenheim is changing the underlying index of three ETFs from Russell indices to S&P Dow Jones Indices. The change will affect all the names and some symbols of these ETFs. The three affected ETFs are:

1. Guggenheim Russell 2000® Equal Weight ETF (EWRS)
2. Guggenheim Russell Midcap Equal Weight ETF (EWRM)
3. Guggenheim Russell MegaCap Top 50® ETF (XLG)

In addition, Guggenheim Russell 1000® Equal Weight ETF (EWRI) will merge into Guggenheim's flagship ETF—Guggenheim S&P 500® Equal Weight ETF (RSP).

Below is a list of the changes:

Title

-  [XLG Prospectus / Sticker](#)
-  [EWRI/EWRS/EWRM Prospectus / Sticker](#)
-  [FAQs on ETF changes](#)

ACTION	Old Symbol	ETF Name Change	New Symbol	Date of Change
FUND MERGER: EWRI merging into RSP				
<i>from:</i> Guggenheim Russell 1000 Equal Weight ETF	EWRI	<i>to:</i> Guggenheim S&P 500® Equal Weight ETF	RSP	1.27.2016
INDEX CHANGE:				
Guggenheim Russell 2000 Equal Weight ETF	EWRS	Guggenheim S&P SmallCap 600® Equal Weight ETF	EWSC	1.27.2016
<i>from:</i> Russell 2000 Equal Weight Index				
<i>to:</i> S&P Small Cap 600® Equal Weight Index				
Guggenheim Russell MidCap Equal Weight ETF	EWRM	Guggenheim S&P MidCap 400® Equal Weight ETF	EWMC	1.27.2016
<i>from:</i> Russell MidCap Equal Weight Index				
<i>to:</i> S&P MidCap 400® Equal Weight Index				

Guggenheim Russell Top 50[®] Mega Cap ETF	XLG*	Guggenheim S&P 500 [®] Top 50 ETF	XLG*	1.27.2016
<i>from:</i> Russell Top 50 [®] Mega Cap Index				
<i>to:</i> S&P 500 [®] Top 50 Index				

* no change to this symbol.

Moving to the S&P Dow Jones domestic indices for our equal weight ETFs aligns the funds' objectives with the broader Guggenheim Equal Weight suite—enabling Guggenheim to offer a comprehensive suite of domestic-based ETFs across both capitalization range and sector choices. In addition, while the Russell indices have overlap between their capitalization indices, the S&P 500, 400 and 600 do not—providing the ability for investors to get precise capitalization exposure.

For additional information about the affected Guggenheim ETFs, call Guggenheim's ETF Knowledge Center at 888.WHY.ETFs or visit guggenheiminvestments.com.

For financial professional use only. Do not distribute to the public.

Read an ETF's prospectus and summary prospectus (if available) carefully before investing. It contains the ETF's investment objectives, risks, charges, expenses and other information, which should be considered carefully before investing. Obtain a prospectus and summary prospectus (if available) for ETFs and other funds distributed by Guggenheim Distributors, LLC and Guggenheim Funds Distributors, LLC at guggenheiminvestments.com or call 888.WHY.ETFs.

This information is not intended to be and should not be construed as tax or legal advice. Always consult an attorney or tax professional regarding your specific legal or tax situation. Guggenheim Investments represents the investment management businesses of Guggenheim Partners, LLC ("GP"), which includes Security Investors, LLC ("SI") and Guggenheim Funds Investment Advisors, LLC ("GFIA"), the investment advisors to the Guggenheim ETFs, respectively.

NOT FDIC INSURED • NOT BANK GUARANTEED • MAY LOSE VALUE

This email is being sent to you by: Institutional.Services@guggenheiminvestments.com

Member **FINRA/SIPC**

#20094 1115 x0216