



To: Mutual Fund Trading Partners
From: ICON Funds

Important Notice!

ICON Funds will be changing Transfer Agency services to ALPS Fund Services, Inc. effective April 11th, 2016.

ICON Funds will continue to be available for purchase under the current NSCC participant number **5549**.

Be advised that although the Funds will be available for trading on 4/8/16, all purchases will be hard rejected to avoid any settlement issues with the new Transfer Agency (ALPS). All purchase trades should be re-submitted through the NSCC on 4/11/16 and ALPS will provide price protection for trade date 4/8/16.

Additionally, broker-dealers who initiate and are responsible for the purchase of ICON Bond Class A shares of \$1 million or more may be paid a commission of up to 0.85% by the Distributor and will be entitled to receive 12b-1 fees after the shares have been held for one year.

Important Transfer Agency Contact Information effective 4/11/2016:

Dealer Services Line: (866) 909-8084

Dealer Fax Number: (866) 205-1499

NSCC Group e-mail: financials@alpsinc.com

Mailing Address:

ICON Funds
P.O. Box 1920
Denver, CO 80201

Overnight Address:

ICON Funds
1290 Broadway
Suite 1100
Denver, CO 80203

Wiring Instructions:

Bank Name: Colorado State Bank & Trust
Account Name: ICON Funds
ABA: 102000607
DDA: 8095353535

12b-1:

Please email invoices to 12b1administration@alpsinc.com for payment processing.

There may be additional changes in processing your requests.

Please update your systems and databases accordingly. If you have any questions, please contact our Dealer Services Department at 1-866-909-8084.

For a complete list of all the effected funds, please see below.

Old TA ID	New TA ID	Fund Name	Ticker	CUSIP	Open/Close
3350	21600	ICON BOND FUND CLASS A	IOBAX	44929K234	Open
3375	21601	ICON BOND FUND CLASS C	IOBCX	44929K754	Open
3384	21602	ICON BOND FUND CLASS S	IOBZX	44929K671	Open
3351	21603	ICON CONSUMER DISCRETIONARY FD CL A	ICCAX	44929K432	Open
3361	21604	ICON CONSUMER DISCRETIONARY FD CLASS S	ICCCX	44929K309	Open
3357	21605	ICON CONSUMER STAPLES FD CL A	ICRAX	44929K291	Open
3365	21606	ICON CONSUMER STAPLES FD CL S	ICLEX	44929K804	Open
3395	21607	ICON EMERGING MARKETS FUND CLASS A	IPCAX	44929K598	Open
3403	21608	ICON EMERGING MARKETS FUND CLASS C	ICPCX	44929K523	Open
3369	21609	ICON EMERGING MARKETS FUND CLASS S	ICARX	44929K853	Open
3352	21610	ICON ENERGY FUND CLASS A	ICEAX	44929K416	Open
3406	21611	ICON ENERGY FUND CLASS C	ICEEX	44929K390	Open
3362	21612	ICON ENERGY FUND CLASS S	ICENX	44929K507	Open
3390	21613	ICON EQUITY INCOME FUND CLASS A	IEQAX	44929K549	Open
3379	21614	ICON EQUITY INCOME FUND CLASS C	IOECX	44929K713	Open
3386	21615	ICON EQUITY INCOME FUND CLASS S	IOEZX	44929K648	Open
3353	21616	ICON FINANCIAL FUND CLASS A	ICFAX	44929K382	Open
3363	21617	ICON FINANCIAL FUND CLASS S	ICFSX	44929K606	Open
3396	21618	ICON FUND CLASS A	ICNAX	44929K531	Open
3374	21619	ICON FUND CLASS C	ICNCX	44929K788	Open
3383	21620	ICON FUND CLASS S	ICNZX	44929K663	Open
3354	21621	ICON HEALTHCARE FUND CLASS A	ICHAX	44929K366	Open
3364	21622	ICON HEALTHCARE FUND CLASS S	ICHCX	44929K705	Open
3355	21623	ICON INDUSTRIALS FUND CLASS A	ICIAX	44929K341	Open
3409	21624	ICON INDUSTRIALS FUND CLASS C	ICICX	44929K333	Open
3368	21625	ICON INDUSTRIALS FUND CLASS S	ICTRX	44929K861	Open
3356	21626	ICON INFORMATION TECHNOLOGY FD CLASS A	ICTTX	44929K325	Open
3366	21627	ICON INFORMATION TECHNOLOGY FD CLASS S	ICTEX	44929K887	Open
3393	21628	ICON INTERNATIONAL EQUITY FUND CLASS A	IIQAX	44929K572	Open
3388	21629	ICON INTERNATIONAL EQUITY FUND CLASS C	IIQCX	44929K614	Open
3370	21630	ICON INTERNATIONAL EQUITY FUND CLASS S	ICNEX	44929K838	Open
3391	21631	ICON LONG/SHORT FUND CLASS A	ISTAX	44929K556	Open
3381	21632	ICON LONG/SHORT FUND CLASS C	IOLCX	44929K689	Open
3387	21633	ICON LONG/SHORT FUND CLASS S	IOLZX	44929K630	Open
3358	21634	ICON NATURAL RESOURCES FUND CLASS A	ICBAX	44929K275	Open

3412	21635	ICON NATURAL RESOURCES FUND CLASS C	ICBCX	44929K267	Open
3360	21636	ICON NATURAL RESOURCES FUND CLASS S	ICBMX	44929K101	Open
3414	21637	ICON OPPORTUNITIES FUND	ICONX	44929K226	Open
3392	21638	ICON RISK-MANAGED BAL FD CL A	IOCAX	44929K564	Open
3377	21639	ICON RISK-MANAGED BAL FD CL C	IOCCX	44929K739	Open
3385	21640	ICON RISK-MANAGED BAL FD CL S	IOCZX	44929K655	Open
3359	21641	ICON UTILITIES FUND CLASS A	ICTVX	44929K259	Open
3367	21642	ICON UTILITIES FUND CLASS S	ICTUX	44929K879	Open

ICON Distributors is the distributor for the ICON funds. A prospectus can be obtained by calling 1.800.764.0442. This notice is for institutional use only. Investing involves risk, including loss of principal. **Investors should carefully read the prospectus before investing and consider the investment objective, risks, charges and expenses of any mutual fund before investing. This and other important information is contained in the prospectus and summary prospectus.**