

December 16

# Liberty – Performance /Income User Guide

INTRODUCTION	3
NAVIGATION	3
Performance Screen	3
INCOME BY MODEL YEAR TO DATE INFORMATION	4
INCOME BY MODEL	5
YEAR TO DATE INFORMATION	6
INCOME ONLY VIEW	7
GENERAL USAGE NOTES	7



## Introduction

The Performance/Income page provides a summary of yearly statistics for a selected account. It is comprised of a robust set of data including performance over time, portfolio value and income by model on one easy to read page.

# **Navigation**

Depending on the role provided by the RIA; you will either see a tab labeled Performance or a tab labeled Income. Both will display an individual account view.

## Performance Screen

Selecting the Performance tab will display the Performance screen

A Account number or name or to 10 Search + Closed Select a list      Back to account list Create Account							
Professional Mode	Brofessional Mode • You are viewing WAN HAWKEN's Ltd. Liability Part/Corp accound (378541). Account Details View other accounts						
Overview*	Holdings	Trading	Performance	Transactions	About your account		
\$3,303,260	Securities Cash 95% 5%	Trade from positions or models	View performance and income	Mew transactions, actions and transfers	Account Information, Documents & Settings		

- *Performance over Time*: Provides options for account level performance or model level, if granted by the RIA. Select one of the pre-defined time periods or use the drop-down to change the timeframe displayed in the chart.
- *Cumulative Time Weighted Return*: Chart display option to view performance for each month over the entire period requested.
- *Total Value and Net Deposits*: Chart display option to view value and net deposits for each month over the entire period requested.
- *Portfolio Value*: Details the beginning and ending values for the timeframe selected with summary totals to explain the change in value.
- *Month by Month Performance*: Provides the data points for each month displayed on the chart.

	Holdings		Trading	Performance	Transactions	About your account			
\$60,581	Securities 97%	Cash 3%	Trade from positions or models	Mew performance and income	View transactions, actions and transfers	Account information, Documents & Settings			
Performance over tir	ime	CUMULATIVE TIME V	NEIGHTED RETURN 🔍 TOTAL VAL	LUE AND NET DEPOSITS IN \$					
Basic 🛛 Advance	ced								
Current Models		2.50%							
Cumulative O Annuals	lized	0.00%							
railing Returns	_	-2.50N							
	7.16%	-5.00N							
						_			
5 Month 🕴 🛛	0.38%	-7.50%			/				
Year 🖡 6	5.04% <	-10.00%							
Vears 16	5.58%	-12.50%							
Years 19	9.22%	-15.00%	jul'15 Aug."	15 Sep 15	Oct 15 Nov 15	Dec '15 Jan '16	Feb 16 Mar 16	Apr '16 May '16	jun:'16
(101) (101)	1000	Thus 12	Jui 15 Mag	and the second s	Cumulat		FED 10 Map 10	Mpr 10 may 10	jun re
Lifetime 163	3.48%				Cumulat	we TWR			
	3.48%	MONTH BY MONTH PER	PEOPMANCE 0		Cumulai	we TWR			Export
	3.48% .93%	MONTH BY MONTH PER	RFORMANCE D	Total Value	Net Deposits	Ohange in	n Deposits Total	Retarn Dollars	
	A CONTRACTOR OF		RFORMANCE 0	Total Value \$62,015.42			n Deposits Total 50.00	Return Dollan \$30,902.06	Cumulative
prior year • ¥4.	A CONTRACTOR OF	Month	RFORMANCE D		Net Deposits				Currulative -6
prior year • ¥4.	A CONTRACTOR OF	Month 05/31/2016	RFORMANCE O	\$62,085.42	Ner Depoilts \$81,182.46		\$0.00	\$30,902.96	Cornulative -6.
prior year • • 4. Portfolio value1	A CONTRACTOR OF	Month 05/31/2016 04/30/2016	RFORMANCE 🛛	\$62,085.42 \$61,708.63	Net Deposits 531,182 46 531,182 46		50.00 50.00	\$30,902.96 \$30,526.17	Currulative -6 -6 -7
prior year • • 4. Portfolio value1	.93%	Month 05/31/2016 04/30/2016 03/31/2016	BFORMANCE 0	\$62,085.42 \$61,708.63 \$61,211.78	Net Deposits 531,182 46 531,182 46 531,182 46		\$0.00 \$0.00 \$0.00	\$30,502.96 \$30,526.17 \$30,029.32	Carnulative -6. -7. -12.
prior year	.93% \$66,078.15 \$0.00	Month 05/31/2016 04/30/2016 03/31/2016 02/29/2016	RFORMANCE O	\$62,085.42 \$61,708.63 \$61,211.78 \$57,939.81	Tert Depoints 531,182 46 531,182 46 531,182 46 531,182 46		50.00 50.00 50.00 50.00	\$30,002.96 \$30,526.17 \$30,029.32 \$26,757.35	Camulather 1 -6.0 -7.1 -12.0 -12.0
prior year	\$66,079.15 \$0.00 \$0.00	Moeth 05/31/2016 04/30/2015 03/31/2016 02/29/2016 01/31/2016	RFORMANCE	\$62,085.42 \$61,708.63 \$61,211.78 \$57,939.81 \$58,121.55	Net Depoints 531,182 46 531,182 46 531,182 46 531,182 46 531,182 46		\$0.00 \$0.00 \$0.00 \$0.00 \$0.00	\$50,902,96 \$30,526,17 \$30,029,32 \$26,757,35 \$26,939,09	Cumulative 1 -6.0 -7.1 -12.0 -12.0 -7.1
prior year	566,079.15 50.00 50.00 51,447.05	Month 05/31/2016 04/30/2016 03/31/2016 02/29/2016 01/31/2016 12/31/2015	RFORMANCE	\$62,085.42 \$61,708.63 \$61,211.78 \$57,930.81 \$58,121.55 \$61,013.86	Net Deposits 511,182-46 531,182-46 531,182-46 531,182-46 531,182-46 531,182-46		50.00 50.00 50.00 50.00 50.00 50.00	\$30,902,96 \$30,526,17 \$30,059,33 \$26,757,55 \$26,539,09 \$29,831,40	Cumulative 1 -6.0 -7.1 -12.1 -12.1 -7.1 -5.0
prior year    Portfolio value	\$66,079.15 \$0.00 \$0.00 \$1,447.05 (\$5,640.78)	Month 05/31/2016 04/30/2016 02/29/2016 02/29/2016 01/31/2015 12/31/2015 12/30/2015	BFORMANCE D	\$62,085.42 \$61,708.63 \$61,211.78 \$57,909.81 \$58,121.55 \$61,015.86 \$62,520.20	Net Depoils. 531,182.45 533,182.46 531,182.46 531,182.46 533,182.46 533,182.46 531,182.46 531,182.46		50.00 50.00 50.00 50.00 50.00 50.00 50.00	\$30,002,96 \$30,526,17 \$30,029,32 \$26,757,35 \$26,939,09 \$29,831,40 \$31,137,74	Caenalather 1 -6.1 -7.1 -12.1 -12.1 -7.5 -5.1 -
prior year	566,079.15 50.00 50.00 51,447.05	Month           09/31/2016         04/30/2016           03/21/2016         02/29/2016           03/21/2016         12/30/2016           12/31/2016         12/31/2015           12/31/2015         12/31/2015           10/31/2015         10/31/2015	NORMANCE	562,085 42 561,708 63 557,908 08 557,908 08 558,121 55 561,013 86 562,503 00 562,564,77	Net Dapoids 351,182,46 351,182,46 351,182,46 351,182,46 351,182,46 353,182,46 353,182,46 353,182,46 353,182,46		50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00	\$50,002,96 \$30,558,17 \$30,029,32 \$26,939,09 \$29,831,40 \$31,187,74 \$31,402,31	Caenalather 1 -6.1 -7.2 -12.1 -12.1 -5.1 -5.1 -10.0
prior year	\$66,079.15 \$66,079.15 \$0.00 \$1,447.05 (\$1,447.05 (\$1,447.05 (\$1,447.05) \$51,447.05	Month           0%/51/2016         04/90/2016           03/92/2016         02/92/2016           02/28/2016         02/28/2016           12/32/2015         12/32/2015           12/32/2015         09/32/2015	RORMANCE	\$62,085 42 \$61,216,63 \$61,211,76 \$58,121,55 \$61,213,86 \$62,310,31,86 \$62,320,54,77 \$59,454,11	Net Depoids 511.187.46 531.1		50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00	\$30,582,96 \$30,583,17 \$30,029,32 \$76,757,95 \$26,979,09 \$32,831,40 \$31,187,74 \$31,402,31 \$31,402,31 \$31,402,31	Correlative 1 -6.4 -7.5 -12.1 -7.2 -7.1 -5.1 -5.1 -10.1 -7.4
prior year	\$66,079.15 \$66,079.15 \$0.00 \$1,447.05 (\$1,447.05 (\$1,447.05 (\$1,447.05) \$51,447.05	Mounth           09/351/2016         04/30/2016           03/32/2016         02/29/2016           02/29/2016         02/29/2016           12/33/2015         12/30/2015           10/35/2015         06/33/2015	NORMANCE	\$62,085.42 \$61,706.63 \$61,211.78 \$57,896.81 \$58,112.55 \$61,013.86 \$60,330.20 \$62,340.27 \$59,440.11 \$61,263.75	Ret Depoints \$33,130,46 \$51,130,46 \$51,130,46 \$51,130,46 \$51,130,46 \$53,130,46		50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00	\$10,962,96 \$10,554,17 \$20,059,32 \$24,579,35 \$24,579,99 \$34,137,74 \$31,400,31 \$29,825,85 \$38,355,85 \$30,081,29	Caenalative -6. -7. -12. -12. -7. -5. -10. -7. -2.
prior year	\$66,079.15 \$66,079.15 \$0.00 \$1,447.05 (\$1,447.05 (\$1,447.05 (\$1,447.05) \$51,447.05	Moesth           0%33/2/2016         04/30/2018           03/33/2018         03/33/2018           03/33/2016         01/33/2018           12/32/2015         12/32/2015           12/32/2015         09/32/2015           09/32/2015         09/32/2015           09/32/2015         09/32/2015	HORMANCE	\$42,085.42 \$41,706.63 \$97,908.81 \$97,908.81 \$98,121.55 \$61,013.86 \$42,303.00 \$94,235.94 \$95,944.11 \$81,245.75 \$44,453.76	Net bayoshi 351,182,46 351,182,46 351,182,46 351,182,46 351,182,46 351,182,46 351,182,46 351,182,46 351,182,46 351,182,46 351,182,46 351,182,46 351,182,46 351,182,46		50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00	\$30,362,96 \$30,258,17 \$20,259,22 \$24,879,75 \$25,851,40 \$31,400,31 \$24,825,46 \$31,400,31 \$24,825,65 \$30,081,29	Consulation -6. -7. -12. -12. -7. -5. -5. -5. -10. -7. -2. -2. -2.
prior year	\$66,079.15 \$66,079.15 \$0.00 \$1,447.05 (\$1,447.05 (\$1,447.05 (\$1,447.05) \$51,447.05	Month           ON/12/0216           OL/12/0216           OL/12/0216           OL/12/0216           OL/12/0216           DL/12/0216           DL/12/0216           OL/12/0216           OL/12/0216           OL/12/0215           OL/12/0215           OL/12/0215           OL/12/0215           OL/12/0215           OL/12/0215           OL/12/0215           OL/12/0215           OL/12/0215	NORMANCE	\$42,018-42 \$61,708.63 \$01,111.78 \$7,709.08 \$1,000,000 \$62,000 \$42,000\$ \$42,000 \$42,000\$\$42,000\$ \$42,000	Net Depols 333,182,46 353,182,182,182,182,182,182,182,182,182,182		50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00	\$10,002,96 \$10,556,17 \$20,029,32 \$24,579,55 \$24,579,55 \$24,579,65 \$31,527,74 \$31,402,31 \$31,402,31 \$32,825,65 \$30,005,29 \$33,851,59	Cansilative -0.0 -6.0 -7.2 -122 -122 -123 -5.0 -5.0 -5.0 -120 -7.1 -5.0 -120 -7.1 -5.0 -5.0 -7.2 -120 -5.0
prior year	\$66,079.15 \$66,079.15 \$0.00 \$1,447.05 (\$1,447.05 (\$1,447.05 (\$1,447.05) \$51,447.05	Month           ON/12/0216           OL/12/0216           OL/12/0216           OL/12/0216           OL/12/0216           DL/12/0216           DL/12/0216           OL/12/0216           OL/12/0216           OL/12/0215           OL/12/0215           OL/12/0215           OL/12/0215           OL/12/0215           OL/12/0215           OL/12/0215           OL/12/0215           OL/12/0215		\$42,018-42 \$61,708.63 \$01,111.78 \$7,709.08 \$1,000,000 \$62,000 \$42,000\$ \$42,000 \$42,000\$\$42,000\$ \$42,000	Net Depols 333,182,46 353,182,182,182,182,182,182,182,182,182,182	Charge b	50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00	\$10,002,96 \$10,556,17 \$20,029,32 \$24,579,55 \$24,579,55 \$24,579,65 \$31,527,74 \$31,402,31 \$31,402,31 \$32,825,65 \$30,005,29 \$33,851,59	Cannalative: 4 6 4 6 4 6 4 6 4 6 4 6 4 6 4 6 4 6 4
prior year	\$66,079.15 \$66,079.15 \$0.00 \$1,447.05 (\$1,447.05 (\$1,447.05 (\$1,447.05) \$51,447.05	Month           01/31/2016           01/31/2016           01/31/2016           01/31/2016           01/31/2016           01/31/2016           01/31/2015	IN TO DATE INFORMATION FOR 20	\$42,08.42 \$41,708.63 \$27,298.81 \$77,298.81 \$54,215.5 \$61,013.86 \$64,230.20 \$62,254.77 \$58,543.11 \$61,240.75 \$64,543.76 \$64,543.75 \$64,543.75 \$64,543.75	Net Dopoli 3 333,182 46 333,824 46 333,824 46 333,824 46 333,182 46 334,182 46 334,182 46 334,182 46 334,182 46 34,182 4634,182 46 34,182 46 34,182 46 34,182 4634,182 46 34,182 46 34,182 4634,182 4634,182 46 34,184 4634,184 4634,184 4634,184 4634,184 4634,	Charge b	5000 5000 5000 5000 5000 5000 5000 500	\$100296 \$90,581 \$90,582 \$14,973 55 \$20,899 09 \$25,813 40 \$31,427 31 \$14,02 51 \$15,05\$\$15,05\$\$15	Expert Constantier 1 

Scroll to the lower section on the performance page.

# Income by Model Year to Date Information

Select from the year drop-down to display information for the selected year.

INCOME BY MODEL YEA	AR TO DATE INFORMATION	FOR 2016 🔉	
Model	Dividends	Interest	Realized G

#### **Income by Model**

The Income by Model displays a listing, by model, of the earnings posted to the account. The earnings and other values shown on this page are estimated figures. These values may differ from those shown on your statements. The year to date information is based on realized earnings. Unrealized earnings are not included.

Please note, realized gains and losses do not include securities with a zero cost basis (N/A) Model: Clicking on the listed Model link will raise a popup containing more earnings information.

More Earnings Infor	mation			0
GLOBAL GROWTH INVESTME Earnings	INTS			
Dividends	\$90.47	Capital Gains Short-Term	\$0.00	
Dividends Non-Taxable	\$0.00	Capital Gains Long-Term	\$0.00	
Interest	\$0.16	Realized Gain/Loss Short-Term	(\$153.96)	
Interest Non-Taxable	\$0.00	Realized Gain/Loss Long-Term	\$0.00	

- *Dividends*: These are the dividends paid to an account for the selected year.
- Interest: Refers to the interest paid on an account for the selected year.
- Realized Gains/Loss: This is the amount of profit or loss that is applied to an account due to selling securities. Equities use trade lot/tax lot cost for determining gains/losses. For all other assets, cost basis reflects average cost unless your Investment Advisor has elected to report actual cost for all securities. Note: Realized gains and losses do not include securities with a zero cost basis (N/A).
- *Capital Gains*: This refers to the short and long-term capital gains distributions paid to an account for the selected year.
- Total Earnings Year to Date: This represents the sum of interest, dividends, short-term and long-term capital gains distributions, non-taxable dividends, non-taxable interest and short-term and long-term realized gains and losses.

INCOME BY MODEL YEAR TO DATE INFORMATION FOR 2016 🖸 🔍						Export data
Model	Dividends	Interest	Realized Gain/Loss	Capital Gains	Total Earnings Year-to-Date	
GLOBAL GROWTH INVESTMENTS	\$90.47	\$0.16	(\$153.96)	\$0.00	(\$63.33)	
INTERNATIONAL INCOME FUND	\$0.03	\$0.00	\$0.00	\$0.00	\$0.03	
Other Assets	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
All Models	\$90.50	\$0.16	(\$153.96)	\$0.00	(\$63.30)	

# Year to Date Information

Represents the contributions, distributions and in-kind transfers made for the selected year .

- Contributions: Amount contributed within the selected year
- Distributions: Amount that has been distributed within the selected year
- Transfers In: Amount that had been transferred within the selected year
- Individual Retirement Account (IRA) summary information: These fields will only appear when applicable:
  - *IRA Required Minimum Distribution:* The dollar amount that is required to be taken annually for an Individual Retirement Account (IRA) holder who is 70 1/2 years of age.
  - *IRA Remaining Distribution to be taken:* The required minimum distribution less any distributions that have occurred in an account for the selected year.

YEAR TO DATE INFORMATION FOR 2016 Export d					
IRA Remaining Distribution to be taken	IRA Required Minimum Distribution	Transfers In	Distributions	Contributions	
\$0.00	\$0.00	\$31,745.86	\$195.00	\$0.00	



# **Income Only View**

If you have elected to not show performance, then users other than the RIA will see the Income Only view in place of the Performance tab.

The information displayed on the Income Only version will be limited to the Income by model year to date information and Year to Date Information sections.

# **General Usage Notes**

Performance data is not available for outside accounts.

