

**Q3 2022 Statement  
Message and Insert  
information from Axos  
Advisor Services**



**Availability of Financial Statements to Account Owners**

Beginning with September 30, 2022 statements, Axos Advisor Services will provide to account owners information about where they can view our financial statements. The following statement message will be displayed:

*Per SEC Rule 17a-5(c)(2) financial statements are available at:*

<https://www.axosclearing.com/disclosures/>

**Annual Privacy Notice to Account Owners**

Axos Advisor Services is required to provide account owners an annual Privacy Notice, as federal law requires us to tell investors how we collect, share, and protect their personal information as well as how they can opt out of certain types of sharing. Account owners will receive the Privacy Notice as an insert with their September 30, 2022 statement.

Privacy Notice is also located at: <https://www.axosclearing.com/privacy-notice/>

**Investment Products: Not FDIC Insured - No Bank Guarantee - May Lose Value.**

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