

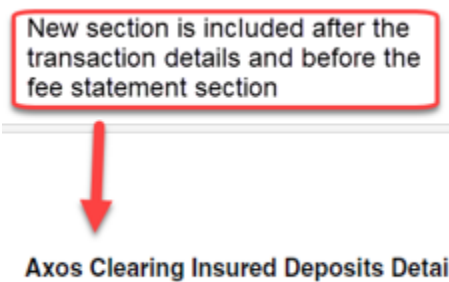
**Q3 2023 Statement
Messages, Updates and
Insert information from
Axos**



Axos Clearing Insured Deposit Details on Statements

The Axos Clearing Insured Deposit Program allows you to sweep your excess brokerage account cash balances to deposit accounts at various depository institutions (banks). Statements will contain details about cash balances and the banks in which they are held. This information allows account holders to see the portion of their balance covered by FDIC insurance.

Example:



Bank Name	Amount
PACIFIC NATIONAL BANK	\$ 292.36
UNINVESTED CASH	\$ 59.80
Total Cash Balance	\$ 352.16

Annual Privacy Notice to Account Owners

Axos Advisor Services is required to provide account owners an annual Privacy Notice, as federal law requires us to tell investors how we collect, share, and protect their personal information as well as how they can opt out of certain types of sharing. Account owners will receive the Privacy Notice as an insert with their September 30, 2023, statement.

Privacy Notice is also located at: <https://www.axosclearing.com/privacy-notice/>

Investment Products: Not FDIC Insured - No Bank Guarantee - May Lose Value.

Axos Advisor Services is a trade name of Axos Clearing LLC. Axos Clearing LLC provides back-office services for registered investment advisers. Neither Axos Advisor Services nor Axos Clearing LLC provides investment advice or make investment recommendations in any capacity. Securities products are offered by Axos Clearing LLC, Member FINRA & SIPC. Axos Clearing, LLC does not provide legal, accounting, or tax advice. Always consult your own legal, accounting, and tax advisors.

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Availability of Financial Statements to Account Owners

Axos Advisor Services is required to provide account owners with information about our financial statements as per SEC Rule 17a-5(c)(2). This information will also be included as in insert with their September 30, 2023, statement.

Financial Statement is also located at: <https://www.axosclearing.com/disclosures/>

Planning your third quarter 2023 statement messaging

If you would like to include a message to your clients on your Third Quarter 2023 statements, please send your customized message to your Client Service Advocate by Friday, September 29, 2023. If you already have a recurring statement message, that message will continue unless we receive new instructions from you.

Statement messages can be up to 33 lines long, with 74 characters per line and should be formatted with a line between paragraphs (bullet point indentations and special characters are not available). The format for statement messages should not include special fonts, italics, or bold characters.

If you have a statement insert, please notify your Client Service Advocate by Friday, September 29, 2023. Three-page inserts will be accommodated, but more than three pages will delay your statement mailing.

Please contact your Client Service Advocate with any questions.

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