

Structured Notes

Getting Started Guide

iCapital Registration and Setup

Getting Started

- > Contact your Client Services Advocate to complete the iCapital registration form
- > Once complete, your Client Services Advocate will submit your registration to iCapital
- > iCapital will email you and those among your team identified on the registration form a welcome email with your login credentials
- > Once registration is complete, you will have full access to iCapital's platform and Desk

Placing Structured Note Orders

Placing a Structured Note Order on iCapital's Platform

- > Log into iCapital's Platform
- > Once logged in, you can access your Dashboard and the Structured Notes Marketplace
- > Please refer to the *iCapital Platform Quick Start Guide* for a full overview of the platform
- > iCapital's Platform will:
 - Offer a comprehensive dashboard displaying all available products, orders, and educational resources
 - Provide on-demand access to a wide range of educational content and tools
 - Give you seamless access to the Structured Investments Marketplace, allowing you to view and filter current offerings
 - Grant you access to the Structured Investments Sales Book for post-trade and performance analysis
 - Allow you to easily view your calendar and custom notes
 - Enable you to view and download calendar events and custom notes prospectuses
 - Offer access to past offering prospectuses once a product has been traded and settled
- > If you want to set up custom or proprietary notes on the platform, please call iCapital's support at 877.317.4666.

Placing a Structured Note Order with iCapital's Desk

- > Call or email the iCapital Desk to discuss proprietary, custom, or calendar notes
 - Desk Line: 877.346.7763
 - Email: Sales@icapital.com
- > Email requested CUSIP to Sales@icapital.com and equitytrading@axosadvisorservices.com
- > Order will be built within iCapital and Liberty

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Help & Support

- > Call or email iCapital's Axos dedicated support team:
 - **Desk Support Line:** 877.346.7763
 - **Platform Support Line:** 877.317.4666
 - **Email:** GISales@icapital.com
- > *Structured Notes Frequently Asked Questions*
- > We are here to assist you with any needs that might arise. Please contact your Client Services Advocate for assistance.

Investment Products: Not FDIC Insured - No Bank Guarantee - May Lose Value.

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