

**Capturing personal information
on the updated *Investment
Advisor New System User form***



E*TRADE Advisor Services has updated the New System User form to collect additional information on individuals who have Advanced User access to the Liberty platform. Advanced User access includes the ability to set up accounts, edit account information, request online distributions, and trade customer accounts on Liberty.

For individuals with Advanced User access, effective October 1, 2019, we are requesting their Social Security number, date of birth, and home address to more effectively screen such individuals. The purpose for requesting this information is to comply with US anti-money laundering laws and sanctions issued by the Office of Foreign Assets Control of the US Treasury.

Advanced Users on the Liberty platform must provide identifying information when requesting access to RIA custody platforms.

***** No additional information is required for individuals who have view-only access. *****

The ***Investment Advisor New System User Form*** is now available for your use.

Please reach out to your Relationship Manager if you have questions.