

# Who is Axos Advisor Services?



Axos Advisor Services is a joint service offering from Axos Clearing, a subsidiary of Axos Financial (NYSE: AX), and Axos Bank. Axos Financial is a digital-first financial services company listed on the NYSE, with a market cap of approximately \$3 billion. Axos Bank, the digital consumer and commercial bank, and Axos Clearing, the securities clearing and custody firm, are the two primary businesses Axos operates on a nationwide basis. Axos Clearing and Axos Bank are subsidiaries of Axos Financial.

## About Axos Clearing LLC

Axos Clearing provides order execution, clearing, and custody services for a wide range of investment vehicles including equities, mutual funds, ETFs, and fixed income securities. It also supports prime brokerage, foreign multi-currency trading, and sophisticated options strategies. Axos Clearing has approximately \$18 billion in AUC, including approximately \$800 million of sweep deposits, for roughly 111,000 investor accounts. Axos Clearing is headquartered in Omaha, Nebraska with an office in New Jersey. Axos Advisor Services will be a trade name under Axos Clearing LLC for the firm's RIA business.

## About Axos Financial

Axos Financial, Inc. is the holding company for Axos Bank, a nationwide bank that provides financing for single and multifamily residential properties, small-to-medium size businesses in target sectors, and selected specialty finance receivables. With nearly \$15 billion in assets, Axos Bank provides consumer and business banking products through its low-cost distribution channels and affinity partners. Axos Bank has been one of the most profitable banks in the industry from a ROE perspective, generating ROE of 16+% and nearly \$200 million of annual net income. Axos Financial, Inc.'s common stock is listed on the NYSE under the symbol "AX" and is a component of the Russell 2000® Index and the S&P 600® Index. For more information about Axos Financial, Inc., please visit [www.axosfinancial.com](http://www.axosfinancial.com).

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